Circuit Theory

Richard Pates

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-Malcolm Smith

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...turned into the major scientific interest of Kalman's

last decade...

-Malcolm Smith



- A very useful class of models:
 - Can describe many phenomena
 - · Many analogues with other physical domains
 - Many useful control architectures (PID, phase lead/lag)
- Inspires useful theory:
 - Lyapunov functions
 - Energy dissipation and passivity
 - ...

Today's Lecture

- Modelling electrical networks
- Analogues
- Network synthesis and state-space models
- Unsolved problems

Modelling circuits

A picture, with a clear mathematical meaning:

- edges \iff differential equations, driving points
- topology \iff algebraic equations



The passive elements



$$\left[\begin{array}{c}P_k\left(\frac{d}{dt}\right) \mid Q_k\left(\frac{d}{dt}\right)\end{array}\right] \left[\begin{array}{c}i_{\mathsf{int},k}\\\hline v_{\mathsf{int},k}\end{array}\right] = 0$$

The passive elements



$$\begin{bmatrix} 0 & 0 & | & n & -1 \\ 1 & n & | & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int},j} \\ i_{\text{int},k} \\ \hline v_{\text{int},j} \\ v_{\text{int},k} \end{bmatrix} = 0$$

The passive elements



Driving points



Pair of terminals with external through current and across voltage

Algebraic equations

$$\begin{bmatrix} M_1 & M_2 & M_3 & M_4 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ v_{\text{int}} \\ \hline i_{\text{ext}} \\ v_{\text{ext}} \end{bmatrix} = 0$$

Circuit behavior

Element laws, driving points, conservation laws:

$$\begin{bmatrix} M_1 & M_2 & M_3 & M_4 \\ \hline \operatorname{diag} P_k\left(\frac{d}{dt}\right) & \operatorname{diag} Q_k\left(\frac{d}{dt}\right) & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ v_{\text{int}} \\ \hline i_{\text{ext}} \\ v_{\text{ext}} \end{bmatrix} = 0$$
(1)

Circuit behavior

Element laws, driving points, conservation laws:

$$\begin{bmatrix} M_1 & M_2 & M_3 & M_4 \\ \hline \operatorname{diag} P_k\left(\frac{d}{dt}\right) & \operatorname{diag} Q_k\left(\frac{d}{dt}\right) & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ v_{\text{int}} \\ \hline i_{\text{ext}} \\ v_{\text{ext}} \end{bmatrix} = 0$$
(1)

Full behavior

$$\mathscr{B} = \{(i, v) : (i, v) \in \mathscr{L}_{loc} \times \mathscr{L}_{loc} \text{ satisfy (1)}\}$$

Circuit behavior

Element laws, driving points, conservation laws:

$$\begin{bmatrix} M_1 & M_2 & M_3 & M_4 \\ \hline \operatorname{diag} P_k\left(\frac{d}{dt}\right) & \operatorname{diag} Q_k\left(\frac{d}{dt}\right) & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ v_{\text{int}} \\ \hline i_{\text{ext}} \\ v_{\text{ext}} \end{bmatrix} = 0$$
(1)

External behavior

$$\mathscr{B} = \left\{ (i_e, v_e) : \left(\begin{bmatrix} i_i \\ i_e \end{bmatrix}, \begin{bmatrix} v_i \\ v_e \end{bmatrix} \right) \in \mathscr{L}_{\text{loc}} \times \mathscr{L}_{\text{loc}} \text{ satisfy (1)} \right\}$$

Analogues



Analogues



Suppose the flywheel of mass m rotates by α radians per meter of relative displacement between the terminals. Then:

 $\mathbf{F} = (\mathbf{m}\alpha^2) \ (\mathbf{\dot{v}_2} - \mathbf{\dot{v}_1})$

Analogues

Mechanical	Electrical
$\frac{F}{1} \xrightarrow{F} Y(s) = \frac{k}{s}$	$\frac{i}{v_2} \underbrace{f(s)}_{v_1} Y(s) = \frac{1}{Ls}$
$\frac{dF}{dt} = k(v_2 - v_1) \qquad \text{spring}$	$\frac{di}{dt} = \frac{1}{L}(v_2 - v_1)$ inductor
$F \qquad F \qquad Y(s) = bs$	$\frac{i}{v_2} \frac{i}{v_1} Y(s) = Cs$
$F \stackrel{v_2}{=} b \frac{d(v_2 - v_1)}{dt} \qquad \text{inerter}$	$i = C \frac{d(v_2 - v_1)}{dt}$ capacitor
$\frac{F}{1} \xrightarrow{F} Y(s) = c$	$\frac{i}{v_2} \xrightarrow{i} Y(s) = \frac{1}{R}$
$F = c(v_2 - v_1) \text{damper}$	$i = \frac{1}{R}(v_2 - v_1)$ resistor

Network synthesis and state-space models

$$\begin{bmatrix} M_1 & M_2 & M_3 & M_4 \\ \hline \operatorname{diag} P_i\left(\frac{d}{dt}\right) & \operatorname{diag} Q_i\left(\frac{d}{dt}\right) & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ \underbrace{v_{\text{int}}}_{i_{\text{ext}}} \\ \underbrace{v_{\text{ext}}} \end{bmatrix} = 0$$

Behavioral state-space model:

$$\mathscr{B}_s = \left\{ (x, u, y) : \frac{d}{dt}x = Ax + Bu, y = Cx + Du \right\}$$

Transformer Synthesis



Transformer Synthesis

$$\begin{bmatrix} 0 & 0 & N^T & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i_a \\ i_b \\ v_a \\ v_b \end{bmatrix} = 0$$

Can synthesise

$$\left[\begin{array}{c} R \mid -I \end{array}\right] \left[\frac{i}{v} \right] = 0$$

for any $R = -R^T$.

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for any $R = -R^T$.

Factor:

$$R = N^T \begin{bmatrix} 0 & I \\ -I & 0 \end{bmatrix} N$$

Synthesise with transformers: $\begin{bmatrix} 0 & 0 & N^{T} & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$ Synthesise with gyrators: $\begin{bmatrix} 0 & -I & I & 0 \\ I & 0 & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$



Synthesise with transformers: $\begin{bmatrix} 0 & 0 & N^{T} & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$ Synthesise with gyrators: $\begin{bmatrix} 0 & -I & I & 0 \\ I & 0 & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$

Synthesise with transformers:
$$\begin{bmatrix} 0 & 0 & | & N^T & -I \\ I & N & | & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$$

Synthesise with gyrators:
$$\begin{bmatrix} 0 & -I & | & I & 0 \\ I & 0 & | & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$$
$$v = N^T v''$$

Synthesise with transformers:
$$\begin{bmatrix} 0 & 0 & | & N^T & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$$

Synthesise with gyrators:
$$\begin{bmatrix} 0 & -I & | & I & 0 \\ I & 0 & | & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$$
$$v = -N^T \begin{bmatrix} 0 & -I \\ I & 0 \end{bmatrix} i''$$

Synthesise with transformers:
$$\begin{bmatrix} 0 & 0 & | & N^T & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$$

Synthesise with gyrators:
$$\begin{bmatrix} 0 & -I & | & I & 0 \\ I & 0 & | & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$$
$$v = N^T \begin{bmatrix} 0 & -I \\ I & 0 \end{bmatrix} i'$$

Synthesise with transformers:
$$\begin{bmatrix} 0 & 0 & | & N^T & -I \\ I & N & 0 & 0 \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$$

Synthesise with gyrators:
$$\begin{bmatrix} 0 & -I & | & I & 0 \\ I & 0 & | & 0 & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$$
$$v = -N^T \begin{bmatrix} 0 & -I \\ I & 0 \end{bmatrix} Ni = Ri$$

Resistor, Transformer, and Gyrator Synthesis...

Can synthesise

$$\begin{bmatrix} R \mid -I \end{bmatrix} \begin{bmatrix} i \\ v \end{bmatrix} = 0$$

for any *R* such that $R + R^T \succeq 0$.

Resistor, Transformer, and Gyrator Synthesis...

Can synthesise

$$\left[\begin{array}{c} R \mid -I \end{array}\right] \left[\frac{i}{v} \right] = 0$$

for any *R* such that $R + R^T \succeq 0$. Factor:

$$\frac{1}{2}\left(R+R^{T}\right)=S^{T}S$$

Resistor, Transformer, and Gyrator Synthesis...

Synth with trans and gyr:
$$\begin{bmatrix} 0 & S \\ -S^T & \frac{1}{2} \begin{pmatrix} R^T - R \end{pmatrix} \begin{vmatrix} I & 0 \\ 0 & I \end{bmatrix} \begin{bmatrix} i' \\ i \\ v' \\ v \end{bmatrix} = 0$$

Synthesise with resistors:
$$\begin{bmatrix} -I & I \end{bmatrix} \begin{bmatrix} i'' \\ v'' \end{bmatrix} = 0$$

RLTG synthesis Synthesise with RTG:

$$\begin{bmatrix} -A & -I & -B & 0 \\ C & 0 & D & -I \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ \underbrace{v_{\text{int}}}_{i_{\text{ext}}} \\ \underbrace{v_{\text{ext}}} \end{bmatrix} = 0$$

Synthesise with L

$$\left[I\frac{d}{dt} \mid -I \right] \left[\frac{i'}{v'} \right] = 0$$

$$\begin{bmatrix} -A & -I & -B & 0 \\ C & 0 & D & -I \\ \hline I \frac{d}{dt} & I & 0 & 0 \end{bmatrix} \begin{bmatrix} \dot{i}_{\text{int}} \\ \frac{v_{\text{int}}}{i_{\text{ext}}} \\ v_{\text{ext}} \end{bmatrix} = 0$$

$$\begin{bmatrix} I\frac{d}{dt} - A & 0 & -B & 0\\ C & 0 & D & -I\\ \hline I\frac{d}{dt} & I & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{\text{int}} \\ \underbrace{v_{\text{int}}}_{i_{\text{ext}}} \\ \underbrace{v_{\text{ext}}}_{v_{\text{ext}}} \end{bmatrix} = 0$$

$$\begin{bmatrix} I\frac{d}{dt} - A & -B & 0 & 0\\ C & D & -I & 0\\ \hline I\frac{d}{dt} & 0 & 0 & I \end{bmatrix} \begin{bmatrix} x\\ i_{\text{ext}}\\ \underbrace{v_{\text{ext}}}\\ v_{\text{int}} \end{bmatrix} = 0$$

Can synthesise any state-space model for which

$$\begin{bmatrix} -A & -B \\ C & D \end{bmatrix} + \begin{bmatrix} -A & -B \\ C & D \end{bmatrix}^T \succeq 0.$$

An equivalent characterisation

Every external RLCTG network behavior admits a state-space realisation with

$$\begin{bmatrix} -A & -B \\ C & D \end{bmatrix} + \begin{bmatrix} -A & -B \\ C & D \end{bmatrix}^T \succeq 0.$$

Special cases



Special cases

Lossy Networks	RT	N/A	$\begin{bmatrix} & & & & \\ & D_{11} & D_{12} \\ & -D_{12}^T & D_{22} \end{bmatrix}$	$D_{11} \succeq 0, D_{22} \succeq 0$
	RLT	-I	$\begin{bmatrix} A & B_1 & B_2 \\ B_1^T & D_{11} & D_{12} \\ -B_2^T & -D_{12}^T & D_{22} \end{bmatrix}$	$\begin{bmatrix} -A & B_2 \\ B_2^T & D_{22} \end{bmatrix} \succeq 0, D_{11} \succeq 0$
	RCT	Ι	$\begin{bmatrix} A & B_1 & B_2 \\ -B_1^T & D_{11} & D_{12} \\ B_2^T & -D_{12}^T & D_{22} \end{bmatrix}$	$\begin{bmatrix} -A & B_1 \\ B_1^T & D_{11} \end{bmatrix} \succeq 0, D_{22} \succeq 0$

Open problems

- Transformerless synthesis
- Synthesise resistive '4 ports'
- ...

Exploit structure for Optimal Control



Exploit structure for Optimal Control

Optimal Controller:

$$\begin{bmatrix} A_c & B_c \\ \hline C_c & D_c \end{bmatrix} = \begin{cases} \begin{bmatrix} A - 2BB^T & B \\ B^T & 0 \end{bmatrix} & \text{in the } H_2 \text{ case;} \\ \hline \boxed{\sqrt{2}I} & \text{in the } H_\infty \text{ case;} \end{cases}$$

Example I



Example I



Example I

Reasonable starting point for grid forming inverter design:

- 1. Easy to scale
- 2. Inherits network structure
- 3. Nothing to do with sparsity!



Figure 4: Illustration of the observation about the optimal control law from Remark 3. In the scalar case, the H_{∞} norm of

$$\begin{bmatrix} G(s) \\ I \end{bmatrix} (I + K(s) G(s))^{-1} \begin{bmatrix} K(s) & I \end{bmatrix},$$

Example II

The objective of constrained least squares is to find an $\bar{x} \in \mathbb{R}^n$ that satisfies

$$\min_{\bar{x}\in\mathbb{R}^n} \|A\bar{x} - b\|_2, \text{ s.t. } C\bar{x} = d,$$
(33)

where $A \in \mathbb{R}^{m \times n}$, $C \in \mathbb{R}^{p \times n}$, $b \in \mathbb{R}^m$ and $d \in \mathbb{R}^p$ are the problem data. Constrained least squares encompasses a very broad class of problems including, for example, finite horizon LQR, and includes standard least squares and minimum norm solutions to a set of linear equations as special cases (p = 0, and A = I and b = 0, respectively). The solution to eq. (33) can be obtained from the Karush-Kuhn-Tucker conditions

$$\begin{bmatrix} -A^T A & -C^T \\ C & 0 \end{bmatrix} \begin{bmatrix} \bar{x} \\ \bar{z} \end{bmatrix} = \begin{bmatrix} -A^T b \\ d \end{bmatrix},$$
(34)

Example II

To this end, consider the system

$$\frac{d}{dt}x = \begin{bmatrix} 0 & -C^T \\ C & 0 \end{bmatrix} x + \begin{bmatrix} A^T \\ 0 \end{bmatrix} (u+w_1-r_1) + \begin{bmatrix} 0 \\ I \end{bmatrix} r_2,$$

$$y = \begin{bmatrix} A & 0 \end{bmatrix} x + w_2.$$
(35)

Example II

eq. (35) that the closed loop system becomes

$$\frac{d}{dt}x = \begin{bmatrix} -A^TA & -C^T\\ C & 0 \end{bmatrix} x + \begin{bmatrix} A^T\\ 0 \end{bmatrix} (w_1 - r_1) + \begin{bmatrix} 0\\ I \end{bmatrix} r_2,$$
$$y = \begin{bmatrix} A & 0 \end{bmatrix} x + w_2.$$

Therefore by applying the step inputs $r_1 = bH(t)$ and $r_2 = dH(t)$, where H(t) denotes the unit step, we see that

$$\lim_{t \to \infty} x(t) = \begin{bmatrix} \bar{x} \\ \bar{z} \end{bmatrix}.$$